



PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1	Complete If Known	
				Application Number	09/910,368
				Filing Date	July 20, 2001
				First Named Inventor	Takumi Okaue
				Art Unit	2131
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SONYJP 3.0-189

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (# known)			
	AA	5,953,419-A	09-14-1999	Lohstroh et al.	
	AB	5,787,175-A	07-28-1998	Carter	
	AC	6,530,020-B1	03-04-2003	Aoki	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (# known)				
	BA	WO-00/31744-A1	06-02-2000	Memory Corporation Technology Limited		✓
	BB	JP-11-15373-A	01-22-1999	Fuji Xerox Co Ltd		1A
	BC	EP-1 228 407-A2	12-14-2000	The Secretary of State for Defence		✓
	BD	WO-00/39800-A1	07-06-2000	Sony Corporation		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	WALDVOGEL, M. ET AL., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, September 1999, pp. 1614-1631.	✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

1A - U.S. Patent No. 6,530,020 is an English counterpart.

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Examiner Signature		Date Considered	11/10/05
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		Number-Kind Code ² (if known)			
000	AA	5,680,453-A	10-21-1997	Akiyama et al.	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
000	BA	GB-2 276 965-A	10-12-1994	ICL Personal Systems Oy		✓
000	BB	EP-0 377 706-B1	07-18-1990	NCR International, Inc.		✓

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